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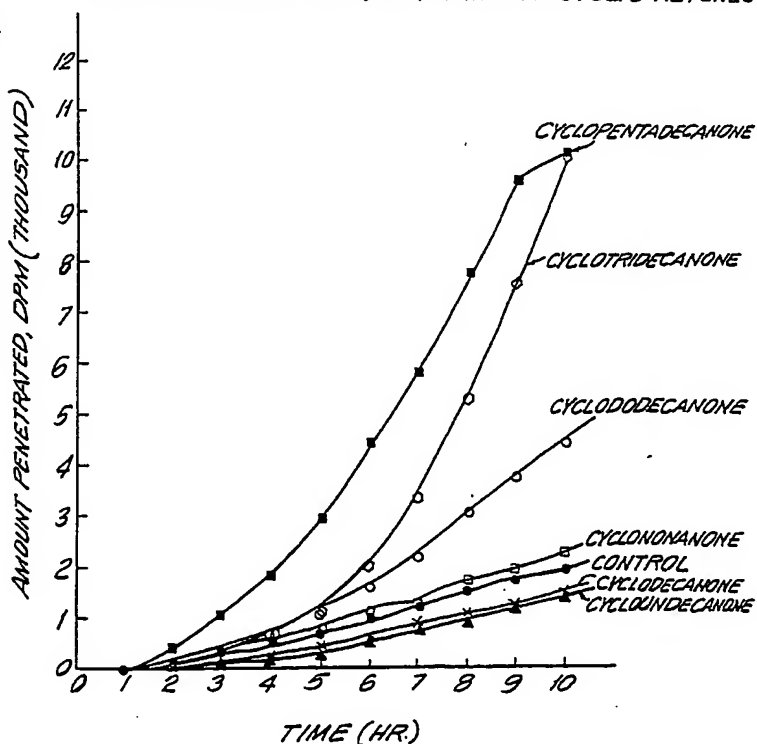
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: TRANSDERMAL DELIVERY OF DRUGS			

## (57) Abstract

The rate of absorption of a physiologically active agent across skin and body membranes of animals and humans is increased by adding to a composition containing the active agent a lactone or a cyclic ketone of formula (I) or a cyclic anhydride or ester of formula (II), wherein  $m+n$  are integers having a value from 1 to 20 with the proviso that  $m+n$  is at least 11 and not greater than 25,  $p$  is an integer having a value of 0 or 1,  $q$  is an integer having a value of 0 or 1, and  $R$  is hydrogen or an alkyl group having from 1 to 6 carbon atoms. And as for a cyclic anhydride or ester,  $x$  is an integer having a value of 0 or 1 to 20,  $y$  is an integer having a value of 0 or 1 and  $z$  is an integer having a value of 0 or 1.

## SKIN PERMEATION OF HYDROCORTISONE THROUGH HAIRLESS MOUSE SKIN, WITH MACROCYCLIC KETONES



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## TRANSDERMAL DELIVERY OF DRUGS

INVENTION

5 This invention relates to the topical, nasal, vaginal and other routes of administration of physiologically active agents such as drugs to humans and animals. It particularly relates to systems for the delivery of drugs across body membranes and providing an enhanced rate of passage across such membranes.

BACKGROUND OF THE INVENTION

10 Administration of drugs using transdermal delivery systems is well known and documented in both the patent and scientific literature.

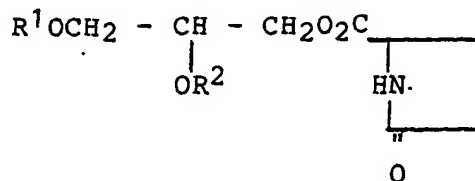
15 Administration using transdermal drug delivery systems has certain advantages over the conventional methods of oral and systemic administration. These advantages include: (1) minimizing drug exposure by allowing a significant reduction in dosage; (2) providing long-term therapy in a single dose thereby increasing patient compliance; (3) avoiding the risks and inconveniences of intravenous or intramuscular  
20 therapy; (4) rendering possible the use of drugs with short biological half-lives; (5) allowing immediate termination of drug input by simply removing the

material containing the drug; and (6) avoiding the possible inactivation of a drug when it first passes through the liver after oral administration.

5 Examples of drugs which have been administered transdermally include scopolamine, nitroglycerin, clonidine, estradiol, antibiotics (e.g., erythromycin, lincomycin and the like), antifungal agents, and sunscreens. Many of these drugs, e.g., clonidine, scopolamine, and nitroglycerin are of such chemical  
10 structure that they can permeate the skin and other body membranes to provide sufficiently high therapeutic doses for most purposes. However, when higher therapeutic levels are required, or when the drug itself, e.g., estradiol diacetate, does not permeate or cannot  
15 sufficiently permeate the skin to provide the desired level of drug concentration, it becomes necessary to use adjuvants which enhance the rate of penetration of the drug. Generally, for transdermal formulation of most drug entities adjuvants are required.

20 Compounds which have been used as adjuvants include dimethyl sulfoxide and homologs thereof, 1-alkyl-azacycloheptan-2-ones (azone), N,N-dimethyl-m-toluidine, long chain aliphatic alkanes, alcohols, carboxylic acids and esters and substituted  
25 (e.g., halo) derivatives thereof,

cyclohexylalkanols, phenylalkanols, mixtures of  
 siloxanes with either amides or urea derivatives, C<sub>3-4</sub>  
 diols and ethers and esters thereof, mixtures of C<sub>3-4</sub>  
 diols with surfactants, eucalyptol, urea, a mixture of  
 2-pyrrolidone and dimethyl formamide,  
 1,3-dimethyl-2-imidazolidinone, dicyclohexyl-  
 methylamine oxide, mixture of hexane and ethylene gly-  
 col monomethyl ether, a mixture of ricinoleyl alcohol  
 and an ethoxylated partial glycerine of a C<sub>6-12</sub>  
 saturated fatty acid, N-substituted-diisopropylamines,  
 and compounds of the formula



wherein R<sup>1</sup> and R<sup>2</sup> are hydrogen, C<sub>1-25</sub> alkyl, C<sub>2-25</sub>  
 alkenyl, C<sub>1-24</sub> alkyl carbonyl, or C<sub>2-24</sub> alkenyl car-  
 bonyl.

While all of the above-listed adjuvants do serve  
 to enhance the transdermal absorption of drugs, they  
 possess certain drawbacks in that (i) some are  
 regarded as toxic (e.g., dimethyl sulfoxide);  
 (ii) some irritate the skin (e.g., surfactants);  
 (iii) some on prolonged use have a thinning effect on  
 the skin (e.g., oleic acid); and (iv) some change the  
 intactness of the skin structure, resulting in a

change in the diffusability of the drug (e.g., azone).

#### DESCRIPTION OF THE INVENTION

5 It is, accordingly, an object of this invention to provide a method for enhancing the rate of passage of drugs across body membranes.

It is another object of this invention to provide drug containing compositions which have an enhanced rate of passage across body membranes.

10 It is a further object of the invention to provide adjuvants which when added to drug compositions enhance the rate passage of the drug therein across body membranes.

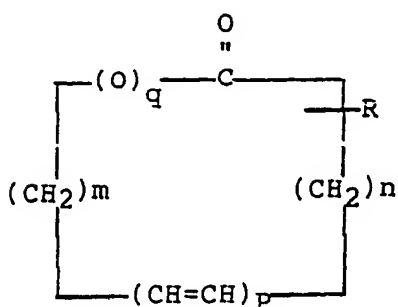
15 It is still another object of this invention to provide adjuvants which are non-toxic and do not exert any physiological effects in the body other than enhancing the rate of passage of drugs across body membranes.

20 It is still another object of this invention to provide adjuvants which have a minimal effect on the structure of the skin after prolonged use.

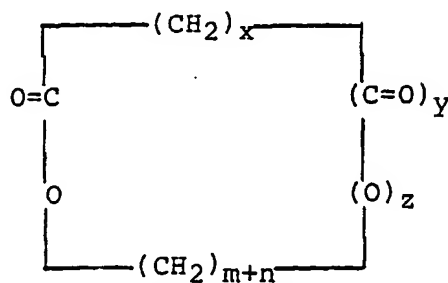
Other objects will appear from the description which follows.

- 5 -

In accordance with this invention it has been found that the addition to a composition containing an effective amount of a drug and a lactone or a cyclic ketone of the formula (I) or a cyclic anhydrides or ester of the formula (II)



Formula I



Formula II

wherein m and n are integers having a value from 1 to 20 with the proviso that m + n is at least 11 and not greater than 25, p is an integer having a value of 0 or 1, q is an integer having a value of 0 or 1, and R is hydrogen or an alkyl group containing from 1 to 6 carbon atoms, which may be straight chained or branched, will enhance the rate of passage of the drugs in said compositions across body membranes.

In the cyclic ketone m + n is preferably from 11 to 15 and p is preferably 0. When R is alkyl it may be methyl, ethyl, propyl, isopropyl, butyl, sec-butyl, amyl, hexyl and the like. If the cyclic anhydrides (Formula II) m+n is preferably from 11 to 15, X is

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preferably 0, y is preferably 0 or 1 and z is preferably 1. If the cyclic esters, m+n is preferably from 11 to 15, x is preferably from 1 to 20, y is preferably from 1, and z is preferably 1. The drug composition which contains an effective amount of the desired active agent contains from about 0.1% to about 30% by weight of the selected lactone, cyclic ketone, cyclic anhydrides, or esters.

The drug composition, which may be administered topically, nasally, buccally, aurally, rectally, ocularly, orally, vaginally, or through the navel, may be in the form of solutions, creams, lotions, aerosols, suppositories or jellies; or incorporated in patches, films, or bandages.

The invention will become clearer from the examples which follow taken in conjunction with the drawings. These examples and drawings illustrate preferred embodiments of the invention and are not to be regarded as limiting.

The evaluation of the compositions of this invention in enhancing the rate of penetration of the drug through a body membrane was carried out in vitro using skin preparations obtained from homozygous Hr/Hr hairless mice (HRS/J) strain following the procedures described by Chow, Kaka and Wang in the J. Pharmaceut.

Sci. 73 (12) 1794-1799 (1984) for the preparation,  
penetration study and data analysis.

Animals between 2 to 4 months of age were  
selected. In all selected animals the skins were  
grossly normal and free of bites, scratches or  
bruises. The mice were killed by CO<sub>2</sub> inhalation, and  
the skin was removed. The full-thickness skin was  
used in the penetration studies.

The skin preparation was mounted between the donor  
and receptor chambers of a Franz diffusion cell. The  
stratum corneum (SC) was exposed to the ambient con-  
dition and the dermal side was oriented toward a pH  
7.4 saline-phosphate buffer, simulating the physiolo-  
gical pH of 7.3 - 7.4 of the dermal side, in the  
receptor chamber.

The solution of the receptor chamber was  
equilibrated by circulating water at 32°C through a  
jacket surrounding the chamber, which temperature was  
chosen to reflect the temperature of the SC, prior to  
the applications of the test sample. Mixing of the  
solution in the receptor chamber was accomplished by  
magnetic stirring.

A known amount of a radioisotope labeled drug,  
diluted with non-radioactive (cold) drug, with or

without the adjuvant, was applied so as to spread across the SC surface of the mounted skin. Aliquots of the saline-phosphate buffer containing any radioisotope labeled drug which had penetrated through the skin into the receptor chamber were withdrawn from the side arm of the receptor chamber, and a volume of fresh saline-phosphate buffer equal to the volume of the withdrawn aliquot was added to the receptor chamber. Aliquots were withdrawn every 30 minutes during the first 2 hours and every hour during the next 10 hours, the total time of the study thus lasting up to 12 hours. The amount of the drug which had passed through the skin was measured by liquid scintillation counting of the withdrawn aliquot in Aquasol-2.

The drawings illustrate the penetration profile of the drugs. These profiles were constructed by plotting the amount of the drug which had penetrated the skin versus time. Profiles for control samples (no adjuvant added) and for tested samples (containing an adjuvant) were plotted in the same figure for purposes of comparison. The numbers of the figures correspond respectively to the numbers of the examples whose results they illustrate.

The permeability parameters which are shown in the

tables were calculated in accordance with the method of Chow, Kaka and Wang as described on page 1795 of their paper.

#### Example 1

5           To a propylene glycol solution containing  $4.74 \times 10^{-2}$  mg/ml of tritiated triamcinolone acetonide 2% w/v of the adjuvant was added. The adjuvants tested were 3-methylcyclopentadecanone (I), cyclopentadecanone (II), cycloundecanone (III), and cyclododecanone (IV).  
10       Each of these cyclic ketones is commercially available. The preparations were tested according to the method described above, and the penetration profile of  $H^3$  - triamcinolone acetonide as enhanced by each of these adjuvants is shown in figure 1, where  
15       each curve represents an average of the number of tests, N, carried with each adjuvant.

          Based upon the data presented in figure 1, the total amount of tritiated triamcinolone acetonide and the rates of penetration (flux) calculated from the  
20       linear portion of the curve are shown in Table 1.

- 10 -

Table 1

<u>Adjuvant</u>	<u>Flux</u>		<u>Total Amount*</u>	
	<u>X10<sup>3</sup>dpm/cm<sup>2</sup>/hr</u>	<u>Ratio %</u>	<u>dpm(X10<sup>3</sup>)</u>	<u>Ratio %</u>
Control	0.16	100	1	100
I	0.70	437	3.5	350
II	1.07	669	4.8	480
III	0.25	156	1.5	150
IV	0.25	156	1.7	170

\*Total amount of triamincinolone acetonide which  
penetrated at the end of 10 hours.

Example 2

The procedure of example 1 was repeated except that the only adjuvant tested was cyclopentadecanone at concentrations of 0.5, 1, 2, 3, 5 and 10% w/v. From 0.2 to 0.9 ml of methanol was added to 2.7 ml of the solution to help dissolve the ketone in the propylene glycol at higher concentrations. The presence of methanol did not appreciably change the permeability of the skin as demonstrated by the profile obtained with the control sample containing methanol. The penetration profiles are shown in figure 2, and it can be readily seen that the minimal effective concentration of the adjuvant was 2%.

Based upon the data presented in figure 2, the

rates of flux calculated from the linear portion of the curve are given in Table 2.

Table 2

	Concentration of Adjuvant	Flux (dpm/cm <sup>2</sup> /hr)	Ratio (%)
5	10	$7.4 \times 10^3$	4625
	5	$4.1 \times 10^3$	2563
	3	$3.7 \times 10^3$	2310
	2	$3.7 \times 10^3$	2310
10	1	$0.31 \times 10^3$	200
	0.5	$0.31 \times 10^3$	200
	0 (Control)	$0.16 \times 10^3$	100

Example 3

The procedure of example 2 was repeated except that 3-methyl-cyclopentadecanone was used as the adjuvant and 0.1 to 0.3 ml ethanol was added to the solution to completely dissolve the adjuvant. This amount of ethanol did not appreciably change the permeability of the skin as demonstrated by the profiles of the controls with and without ethanol. The penetration profiles are shown in figure 3, and it can be readily seen that the minimal effective concentration of the adjuvant is 2%.

Based upon the data presented in figure 3, the rates of flux calculated from the linear portions of the curves are given in Table 3.

Table 3

5	Concentration	Flux	Ratio (%)
	(%)	(dpm/cm <sup>2</sup> /hr)	
	10	0.3 x 10 <sup>3</sup>	3000
	5	0.3 x 10 <sup>3</sup>	3000
	3	0.22 x 10 <sup>3</sup>	2200
10	2	0.15 x 10 <sup>3</sup>	1500
	1	0.10 x 10 <sup>3</sup>	1000
	0.5%	0.013 x 10 <sup>3</sup>	130
	0% (with ethanol)	0.025 x 10 <sup>3</sup>	250
15	0% (no ethanol)	0.010 x 10 <sup>3</sup>	100

Example 4

The procedure of example 1 was repeated except that the drug was 8-methoxy-psoralen (MOP) with a concentration of 46 mg/ml used as H<sup>3</sup>-MOP dissolved in propylene glycol, and the adjuvants tested were 3-methylcyclopentadecanone (I) (0.4% w/v) and cycloundecanone (III) (2% w/v). The penetration profiles are

shown in figure 4.

Based upon the data presented in figure 4, the rates of flux calculated from the lines portion of the curves are shown in Table 4.

5

Table 4

<u>Adjuvant</u>	<u>Flux (dpm/cm<sup>2</sup>/hr)</u>	<u>Ratio (%)</u>
Control	$1.88 \times 10^3$	100
0.4% I	$8.13 \times 10^3$	432
2% III	$3.63 \times 10^3$	193

10

Example 5

The process of example 1 was repeated except that tritiated clonidine, diluted 1000 fold with cold clonidine was used. The tests were run with a propylene glycol containing 37.4 mg/ml clonidine and 2% (w/v) cyclopentadecanone. The penetration profiles are shown in figure 5. Based on the profile the flux of the preparation containing the adjuvant was 10.1 mg/cm<sup>2</sup>/hr or equivalent to  $1.83 \times 10^6$  dpm/cm<sup>2</sup>/hr of the respective radioisotopically labeled drug.

15

20

Example 6

The procedure of example 5 was repeated except that <sup>14</sup>C diazepam, diluted 100 fold with cold diaze-

pam, was used. The tests were run with a propylene glycol solution containing 1.91 mg/ml of diazepam and 2% (w/v) cyclopentadecanone. The penetration profiles are shown in figure 6.

5

Example 7

The procedure of example 6 was repeated except that <sup>14</sup>C-diazepam diluted 1,000 fold with cold diazepam, was used. The propylene glycol solution contained 18.9 mg/ml of diazepam and 2% (w/v) of cyclopentadecanone. The penetration profiles are shown in figure 7.

10

Example 8

The procedure of example 6 was repeated except that <sup>14</sup>C estradiol, diluted 100 fold with cold estradiol, was used. The tests were run with a propylene glycol solution containing 1.06 mg/ml estradiol and 2% (w/v) cyclopentadecanone. The penetration profiles are shown in figure 8.

15

Example 9

The procedure of example 6 was repeated except that tritiated propranolol diluted 100 fold with cold propranolol, was used. The tests were run with a pro-

20

pylene glycol solution containing  $9.7 \times 10^{-3}$  mg/ml propranolol and 2% (w/v) cyclopentadecanone. The penetration profiles are shown in figure 9.

#### Example 10

5           The procedure of example 6 was repeated except that tritiated verapamil, diluted 1000 fold with cold verapamil, was used. The tests were run with a propylene glycol solution containing  $1.54 \times 10^{-2}$  mg/ml verapamil and 2% (w/v) cyclopentadecanone. The  
10 penetration profiles are shown in figure 10.

          The results of the experiments described in examples 1 to 10 clearly show that the cyclic ketones of the formula described above enhance the rate of transdermal passage of large variety of drugs. These  
15 drugs include steroids (estradiol and triamcinolone acetate), antihypertensives (clonidine and verapamil), sedatives (diazepam), and antiarrhythmics (propranolol). Other types of drugs whose rate of transdermal passage would be increased include, but  
20 are not limited to, antibiotics, antifungal agents, CNS depressants, and sunscreens.

          Examples 1 to 13 have shown solutions containing compositions which are suitable in the practice of this invention. Examples 14 to 18 illustrate other

types of compositions which are also suitable. In these examples the amounts are given in percent by weight.

Studies were carried out to demonstrate that:

- 5 (1) the cyclic ketones containing more than 10 carbon atoms possess unexpected, desirable properties not possessed by those ketones having a lower carbon content; (2) other macrocyclic compounds such as cyclopentadecanolide (having an oxygen in the macrocyclic  
10 ring) and civetone (having a double bond in the macrocyclic ring) possess properties which enhance the skin absorption of drugs through skin; and (3) nasal absorption of drugs, in particular therapeutic proteins and peptides, can be enhanced by the addition  
15 of such macrocyclic compounds. These studies are described in Examples 11 to 13.

#### Example 11

Comparison of different cyclic ketones for the enhancement of percutaneous absorption of  
20 drugs through hairless mouse skin

In this study, six different cyclic ketones were used for comparative studies on the percutaneous absorption of tritiated hydrocortisones through

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hairless mouse skin. These included cyclononane (C9), cyclodecanone (C10), cycloundecanone (C11), cyclododecanone (C12), cyclotridecanone (C13), and cyclopentadecanone (C15). The preparation, penetration study, and data analysis of the experiment followed the procedure referred to in Example 1. For each compound, five skin samples were used for percutaneous absorption study. The concentration of enhancers used in the donor compartment was 2%. The duration of the experiment was performed for 10 hours when the steady-rate of penetration of drugs has been reached for at least several hours. Figure 11 shows penetration profiles of hydrocortisone from percutaneous absorption enhanced by the different cyclic ketones through hairless mouse skin. The ranking of the potency of the enhanced absorption property of different cyclic ketones are in the following order: cyclopentadecanone > cyclotridecanone > cyclodecanone > cyclononane > cycloundecanone > cyclodecanone (a decreasing order). The slope of the penetration profiles, which represent the steady state permeation rate of drugs, were calculated and shown in Table 5. The enhancement factor of different cyclic ketones was calculated based upon the control group as 100. There was a slight decrease in the permeation rate of hydrocortisone through hairless mouse skin

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when cyclodecanone and cycloundecanone were used as skin enhancers respectively. In other words, both cyclodecanone and cycloundecanone slightly inhibit the percutaneous absorption of hydrocortisone through hairless mouse skin. There was a little effect in the percutaneous absorption of hydrocortisone through hairless mouse skin when cyclononanone was used. There was a 230% increase in the permeation rate of hydrocortisone through hairless mouse skin when cyclododecanone was used in the study. However, there was a 524% increase and a 590% increase in percutaneous absorption of hydrocortisone through hairless mouse skin when cyclotridecanone and cyclopentadecanone were used as skin enhancers respectively. Additionally, cyclopentadecanolide, a macrocyclic compound having an oxygen atom in the macrocyclic ring, was used in the same study for comparison. There was a 17-fold increase in percutaneous permeation rate of hydrocortisone through hairless mouse skin.

From this study, it was clearly demonstrated that (1) the cyclic ketones containing more than 11 carbon atoms possess unexpected, desirable properties which are not possessed by those ketones having a lower carbon content, (2) the higher the carbon number in the macrocyclic ring, the higher the enhanced permeation rate of hydrocortisone through hairless mouse skin,

and (3) the cyclopentadecanolide is superior to cyclic ketones being tested in this study.

Table 5

Comparison of permeation rate of hydrocortisone through hairless mouse skin by different cyclic ketones

	Chemical(s)	Permeation Rate (ug/cm*cm/hr)	Enhancement factor (%)
	None or control	$5.25 \times 10^{-5}$	100
10	cyclononanone	$5.96 \times 10^{-5}$	113
	cyclodecanone	$3.79 \times 10^{-5}$	72
	cycloundecanone	$3.91 \times 10^{-5}$	74
	cyclododecanone	$1.21 \times 10^{-4}$	230
	cyclotridecanone	$2.75 \times 10^{-4}$	524
15	cyclopentadecanone	$3.10 \times 10^{-4}$	590
	cyclopentadecanolide	$8.94 \times 10^{-4}$	1703

- 
1. The concentration of chemical used in the donor compartment was 2%.
  2. Permeation rates were calculated from the slope of permeation profile.
  3. The enhancement factor was calculated based upon the control group (without chemical) as 100.

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Example 12

Macrocyclic compounds other than cyclic ketones

A. Civetone, 9-cycloheptadecen-1-one.

5           Sample preparation, permeation study and data  
analysis were carried out following the procedure  
referred to in Example 1. The enhancer used in this  
study is civetone at the level of 2% in the solution  
of donor compartment of diffusion cell.

10           Figure 12 shows the permeation profile of tri-  
tiated triamcinolone acetonide through hairless mouse  
skin with and without civetone. the steady-rate per-  
meation rate, calculated from the slope of permeation  
profile, was  $8.36 \times 10^{-3}$  ug/cm\*cm/hr with civetone;  
while it is only  $1.10 \times 10^{-3}$  ug/cm \*cm/hr without  
15           civetone. There was a 760% increase in the per-  
cutaneous permeation rate of triamcinolone acetonide  
when civetone was used as skin enhancer at the level  
of 2%.

B. Cyclopentadecanolide

20           Sample preparation, permeation study and data  
analysis were carried out using the same procedures as  
in Part A, above, except cyclopentadecanolide instead  
of civetone was used.

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Figure 13 shows the permeation profiles of tri-  
 tiated triamcinolone acetonide with cyclopen-  
 tadecanolide. Without the addition of  
 cyclopentadecanolide, no penetrated drug was detected  
 in the receptor compartment. However, when cyclopen-  
 tadecanolide was used at the level of 2%, the drug,  
 triamcinolone acetonide penetrated through hairless  
 mouse skin. From the permeation profile, four per-  
 meation parameters, i.e., lag time, permeability coef-  
 ficient of membrane (Kp), diffusion constant within  
 membrane (D), and partition coefficient between  
 membrane and vehicle (Km) were analyzed and listed in  
 Table 6.

Table 6

Triamcinolone acetonide penetration parameters  
 with and without cyclopentadecanolide

Enhancer	Lag time (hr)	Kp (cm/hr)	D (cm <sup>2</sup> /hr)	Km
None	--	--	--	--
cyclopenta- decanolide (2%)	6.03	3.88	$4.42 \times 10^{-7}$	$3.51 \times 10^4$

Example 13

Nasal absorption of insulin in dogs

A. Cyclopentadecanolide (or oxacyclohexadecan-2-one)

5           The object of this study was to demonstrate  
the nasal absorption of therapeutic proteins and peptides, carbohydrates, nucleic acids, lipoproteins, mucoproteins, lipoproteins, and other macromolecules in living animals and humans can be achieved with the  
10 addition of skin enhancers such as cyclopentadecanolide.

Beagle dogs weighing 10 to 12 kg were used in this study. The formulation of the nasal spray was composed of Freon, insulin, and cyclopentadecanolide  
15 packaged in a metered nasal spray device which is commercially available. Before applying nasal spray in dogs, dogs were anaesthetized using Nembutal (or pentobarbitol) at the dose of 40-50 mg/kg. Fifteen minutes before application, blood samples were  
20 obtained. Then, nasal spray of insulin was applied with the aid of applicator. Blood samples were again obtained at 0, 10, 20, 30, 45, 60, 90, 120, and 180 minutes. Both blood glucose determined by YSI glucose analyser and serum insulin levels determined by

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radioimmunoassay were tested. Both methods were commonly practiced in the laboratory.

Table 7 shows the blood glucose and serum insulin levels of dogs receiving insulin nasal spray containing cyclopentadecanolide. Obviously, when nasal spray of insulin with cyclopentadecanolide was applied (sprayed) in the nasal cavity of dogs, serum insulin levels abruptly increased to 71.2 uU/ml in 10 minutes and maintained the level for about 30 minutes, then gradually decreased and levelled off in 3 hours. On the other hand, blood glucose levels decreased from 83.6 mg/dl at 0 minute to 51.5 mg/dl at 30 minutes as serum insulin levels increased from 2.7 uU/ml at 0 minute to 67.1 uU/ml at 30 minutes. Then, the blood glucose levels maintained almost constant for about 80 minutes. Finally, when serum insulin was depleting at 120 minutes to 7.9 uU/ml at 180 minutes, blood glucose levels rose from 45.8 mg/dl to 72.7 mg/dl within the same time span.

Figure 14 shows the time course of both blood glucose and serum insulin levels in dogs before and after receiving nasal spray of insulin containing cyclopentadecanolide. These patterns were similar to those receiving insulin subcutaneously.

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Table 7

Nasal Absorption of Insulin in Dogs with  
Cyclopentadecanolide

	Time (minutes)	Blood Glucose (mg/dl)	Serum Insulin (uU/ml)
5	- 15	81.0 $\pm$ 3.2	1.7 $\pm$ 0.6
	0	83.6 $\pm$ 1.6	2.7 $\pm$ 1.3
	10	80.7 $\pm$ 2.7	71.2 $\pm$ 28.3
	20	68.4 $\pm$ 9.1	78.6 $\pm$ 26.6
10	30	51.5 $\pm$ 9.5	67.1 $\pm$ 23.9
	45	35.2 $\pm$ 6.6	53.3 $\pm$ 13.6
	60	40.1 $\pm$ 5.3	40.7 $\pm$ 10.9
	90	38.7 $\pm$ 0.4	14.2 $\pm$ 3.9
	120	45.8 $\pm$ 3.0	10.8 $\pm$ 2.7
15	180	72.7 $\pm$ 8.3	7.9 $\pm$ 2.8

1. Three dogs were used in the study
2. Data were expressed as mean  $\pm$  S.E.M.
3. The dose of insulin used in each dog was 1 U/kg body weight
4. The concentration of cyclopentadecanolide in Freon solution was 1%.

Control experiments included the following:

- (1) Placebo without insulin but containing skin enhancer, (2) Phosphate buffer solution, and (3)

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Insulin itself. When these control formulations were sprayed in the nasal cavity in dogs, no changes in both blood glucose level and serum insulin were found.

B. 3-methyl cyclopentadecanone

5                   In a separate study, 3-methyl cyclopentadecanone (musone) instead of cyclopentadecanolide, was used as enhancer for nasal absorption of insulin. The formulation of nasal spray was the same as the previous example except the enhancer used in the formulation. The procedures and the methods for performing the experiment were the same as previous example. Blood samples were assayed for blood glucose and serum insulin levels at given time intervals. Two dogs were used in this study. The average values of blood glucose and serum insulin were shown in Table 8. And the time course of the changes of blood glucose and serum insulin levels were shown in Figure 15. From this study, it can be concluded that the effect of 3-methyl cyclopentadecanone on the nasal absorption of insulin in dogs was similar to that of cyclopentadecanolide used in the nasal spray formulation.

10

15

20

Table 8

Nasal Absorption of Insulin in Dogs with  
3-methyl cyclopentadecanone

	Time	Glucose level	Serum insulin
	(minute)	(mg/dl)	(uU/ml)
5	- 20	92.1 $\pm$ 0.6	7.9 $\pm$ 0.9
	0	96.4 $\pm$ 5.0	15.8 $\pm$ 6.7
	10	92.1 $\pm$ 3.2	40.5 $\pm$ 8.9
	20	84.7 $\pm$ 0.9	40.3 $\pm$ 4.1
10	30	71.3 $\pm$ 2.6	36.5 $\pm$ 10.0
	60	50.4 $\pm$ 10.1	45.9 $\pm$ 13.7
	90	41.0 $\pm$ 9.7	24.1 $\pm$ 1.6
	120	39.3 $\pm$ 5.6	23.5 $\pm$ 3.0
	180	64.5 $\pm$ 24.4	22.5 $\pm$ 3.7

- 15           1. Two dogs were used in the study
2. Data were expressed as mean  $\pm$  S.E.M.
3. The dose of insulin used in each dog  
              was 1 U/kg body weight
4. The concentration of 3-methyl  
20           cyclopentadecanone in Freon solution  
              was 1%.

Examples 1 to 13 have shown solutions containing compositions which are suitable in the practice of this invention. In particular, example 13 illustrates

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the use of macrocyclic compounds in the nasal spray of insulin formulations for diabetes treatment. The practice of this invention is not limited to insulin alone, but suitable for many therapeutic proteins and peptides. To name a few, interferon for common colds, cancer, and viral infection, lymphokines for cancer and immunity disease, growth hormones for dwarfism, lutenizing hormone releasing hormones (LHRH) analogs for birth control, enkaphalin for pain relief, and so on. Examples 14 to 18 illustrate other types of compositions which are also suitable. In these examples the amounts are given in percent by weight.

#### Example 14

The following lotion formulation containing from about 0.001 to 1% by weight of estradiol may be prepared:

Estradiol	0.001-1
Cetylalcohol	15
Propyleneglycol	10
Sodium lauryl sulfate	15
Cyclopentadecanone	2
Water	q.s. 100

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Example 15

The following cream formulation containing clotrimazole, an antifungal agent, may be prepared:

	Mineral oil	31
5	Cyclopentadecanone	2
	Clotrimazole	1
	Spermaceti	10
	Glycerol monostearate	10
	Paraffin	8
10	Water	38

Example 16

The following suppository containing an antiseptic, benzethonium chloride, may be prepared:

	Benzethonium chloride	2
15	Cyclopentadecanone	2
	Cocoa butter	80
	Tween 61*	16
	*Polyethylene - 4 - sorbitan monostearate	

Example 17

20           The following film containing procaine hydrochloride may be prepared:

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	Procaine hydrochloride	0.562
	Cyclopentadecanone	2
	Polyvinyl alcohol	30
	Polyvinylpyrrolidone	30
5	Polyethylene glycol	q.s. 100

Example 18

Vaginal Absorption of Fluorogestone Acetate for  
Estrus Synchronization in Sheep .

The objective of this study was to demonstrate the  
10 vaginal absorption of therapeutic agents can be  
achieved to desirable therapeutic levels by the addi-  
tion of permeation enhancers such as cyclopen-  
tadecanolide. Polymer sponges made of polyurethane or  
alike are impregnated with 80% fluorogestone acetate  
15 and 20% cyclopentadecanolide. The sponge was inserted  
into the vagina of ewes for up to 12 days. Blood  
samples were drawn and the levels of fluorogestone  
acetate were determined by radioimmunoassay. Table 9  
shows the blood levels of fluorogestone acetate in  
20 ewes during the time course of treatment. The later  
phase of treatment is the decisive indicator for  
estrus synchronization in ewes. The results clearly  
indicated that at the later phase of treatment (i.e.  
days 6, 9, and 12), the blood levels in those ewes

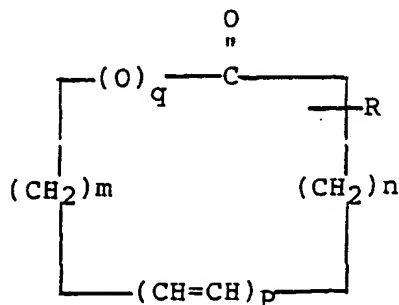
- 30 -

receiving sponges containing permeation enhancers such as cyclopentadecanolide are higher than those without permeation enhancers.

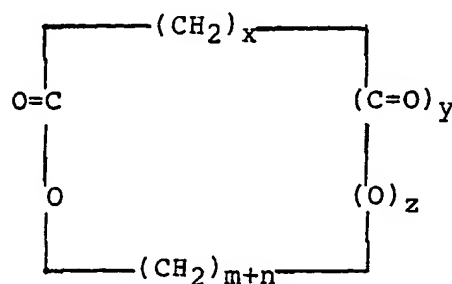
5	Treatment and		Day of Treatment					
	Animal No.		0	3	6	9	12	13
			(Nanogram/ml)					
	<u>-ve Control</u>	1	3.61	0.19	0.47	0.22	0.20	0.34
		2	6.82	0.48	0.39	0.25	0.19	0.23
10		3	0.69	0.36	0.36	0.07	0.09	0.32
	$\bar{X}$	3.70	0.34	0.41	0.18	0.16	0.30	
	SED	1.77	0.08	0.03	0.05	0.03	0.03	
	<u>Sponge I</u>	4	0.56	2.61	1.42	2.05	1.11	0.39
	(No Enhancer)	5	3.15	3.23	2.26	1.49	1.56	0.19
15		6	5.51	3.26	3.61	2.53	2.41	0.43
		7	0.80	2.06	1.39	2.05	1.47	0.22
	$\bar{X}$	2.51	2.79	2.17	2.03	1.64	0.31	
	SED	1.16	0.28	0.52	0.21	0.28	0.06	
	<u>Sponge II</u>	8	2.62	2.12	2.06	3.61	2.51	0.34
20	(With Enhancer)	9	0.87	4.27	2.53	2.31	2.13	0.41
		10	0.82	3.33	2.18	2.39	2.04	0.59
		11	1.06	2.02	2.22	2.81	2.24	0.63
	$\bar{X}$	1.34	2.94	2.56	2.78	2.23	0.49	
	SED	0.43	0.54	0.10	0.30	0.10	0.07	

I claim:

1. A method for increasing the rate of absorption of a physiologically active agent across animal and human skin and body membranes which comprises applying to the skin or body membranes of an animal or human a composition containing an effective amount of the active agent and from about 0.1% to about 30% by weight of a lactone or a cyclic ketone of the formula (I), or a cyclic anhydride or ester of the formula (II):



Formula I



Formula II

wherein m and n are integers having a value from 1 to 20 with the proviso that m+n is at least 11 and not greater than 25, p is an integer having a value of 0 or 1, q is an integer having a value of 0 or 1, and R is hydrogen or an alkyl group having from 1 to 6 carbon atoms and x is an integer having a value of 0 or 1 to 20, y is an integer having a value of 0 or 1 and z is an integer having a value of 0 or 1.

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2. A method according to claim 1 wherein  $q$  is 0.

3. A method according to claim 2 where  $p$  is 0.

4. A method according to claim 3 wherein  $m+n$  is an integer having a value from 11 to 15.

5 5. A method according to claim 4 wherein  $R$  is hydrogen.

6. A method according to claim 5 where  $m+n$  is 11.

10 7. A method according to claim 5 where  $m+n$  is 14.

8. A method according to claim 4 wherein  $m+n$  is 14 and  $R$  is methyl.

9. A method according to claim 2 wherein  $p$  is 1,  $m$  is 7 and  $n$  is 7.

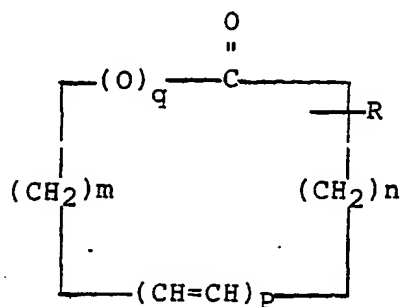
15 10. A method according to claim 1 wherein  $q$  is 1,  $p$  is 0, and  $m+n$  is 15.

11. A method according to claim 1, Formula II wherein  $m+n$  is 11,  $x$  is 2,  $y$  is 1 and  $z$  is 1.

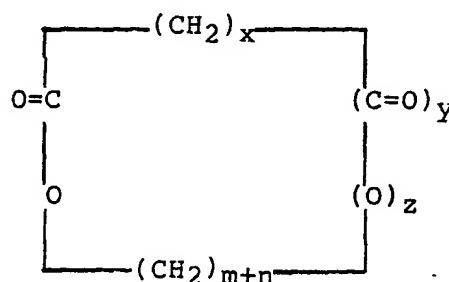
20 12. A method according to claim 7 wherein the concentration of the cyclic ketone is at least about 0.2%.

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13. A composition for administering a physiologically active agent across skin or a body membrane of an animal or human which contains an effective amount of the active agent and from about 0.1% to about 30% by weight of a lactone or a cyclic ketone of the formula (I) or a cyclic anhydride or ester of the formula (II)



Formula I



Formula II

wherein m and n are integers having a value from 1 to 20 with the proviso that m+n is at least 11 and not greater than 25, p is an integer having a value of 0 or 1, q is an integer having a value of 0 or 1, and R is hydrogen or an alkyl group having from 1 to 6 carbon atoms. As for formula II, x is an integer having a value of 0 or 1 to 20, y is an integer having a value of 0 or 1, and z is an integer having a value of 0 or 1.

14. A composition according to claim 10 which is in the form of a solution.

15. A composition according to claim 14 wherein q is 0.

16. A composition according to claim 14 wherein p is 0.

5 17. A composition according to claim 16 wherein m+n is an integer having a value from 11 to 15.

18. A composition according to claim 17 wherein R is hydrogen.

10 19. A composition according to claim 18 wherein m+n is 11.

20. A composition according to claim 16 wherein m+n is 14.

15 21. A composition according to claim 15 wherein m+n is 14 and R is methyl.

22. A composition according to claim 20 wherein the concentration of the cyclic ketone is at least about 2%.

20 23. A composition according to claim 15 wherein p is 1, m is 7, and n is 7.

24. A composition according to claim 14 wherein p is 0, q is 1, and m+n is 15.

25. A composition according to claim 24 wherein

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the concentration of macrocyclic lactone is at least about 0.5%.

26. A composition according to claim 10 which is impregnated in the form of a sponge.

5           27. A composition according to claim 26 wherein the concentration of macrocyclic lactone is at least about 0.1%

28. A composition according to claim 10 which is in the form of aerosol spray.

10           29. A composition according to claim 28 wherein the concentration of macrocyclic lactone is at least about 0.1%.

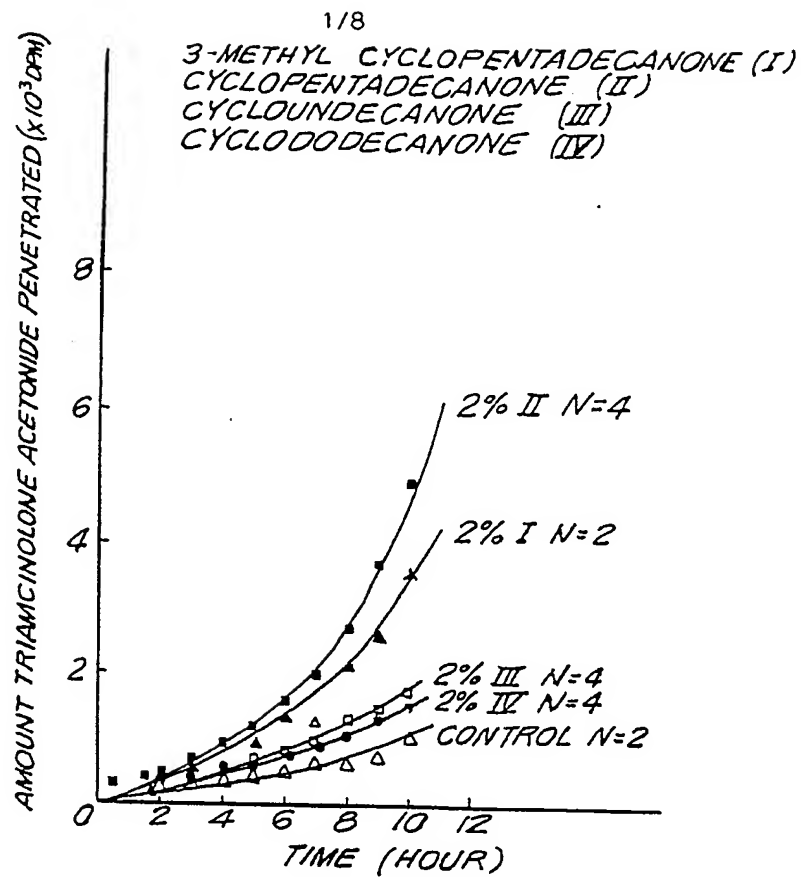


FIG. 1

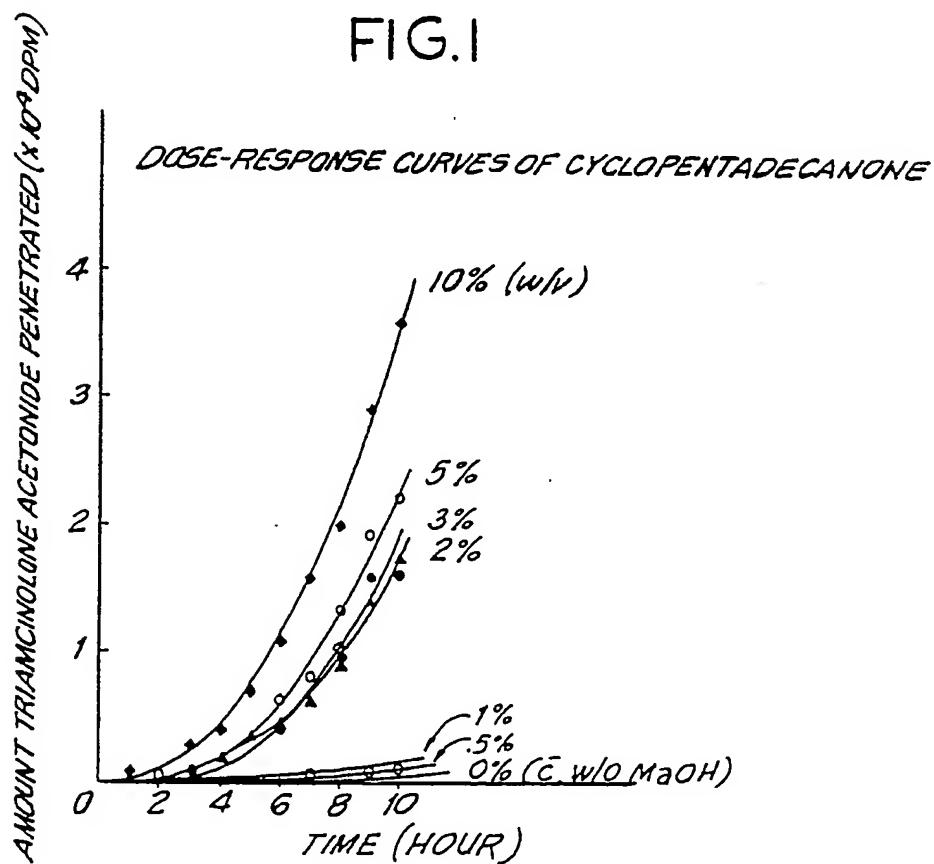


FIG. 2

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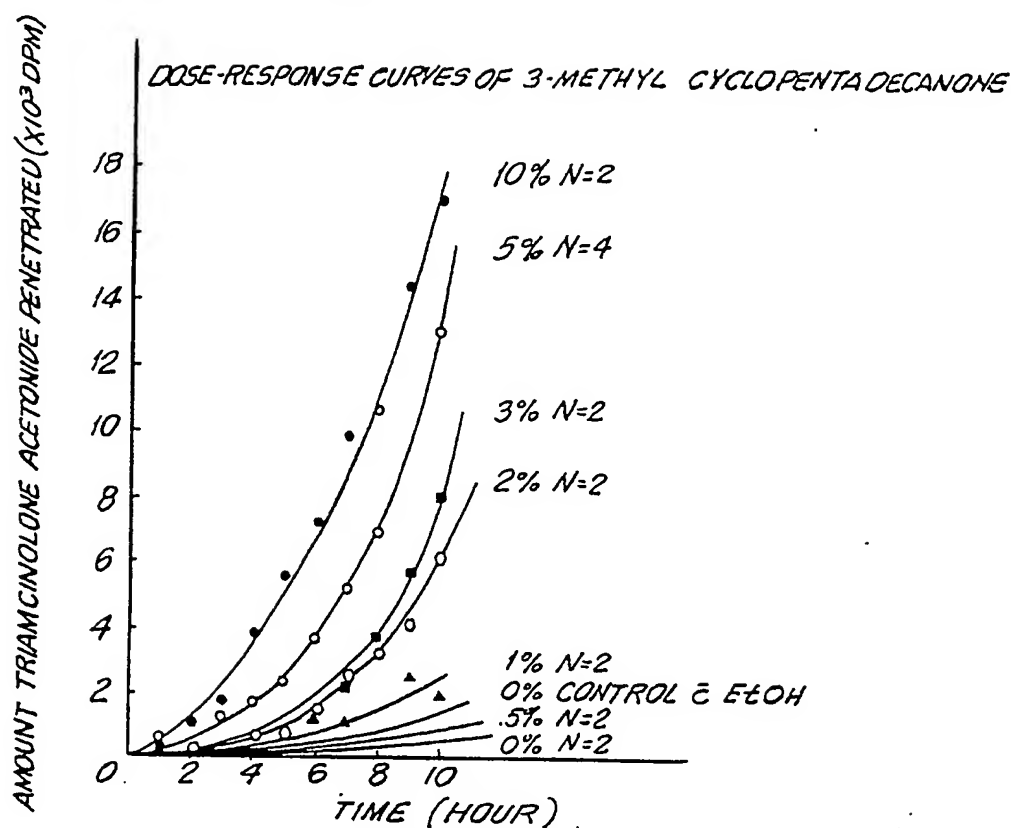


FIG.3

KEY: 23% MOP IN 1ml PG (MOP=8-METHOXYPSORALEN;  
PG=PROPYLENE GLYCOL)

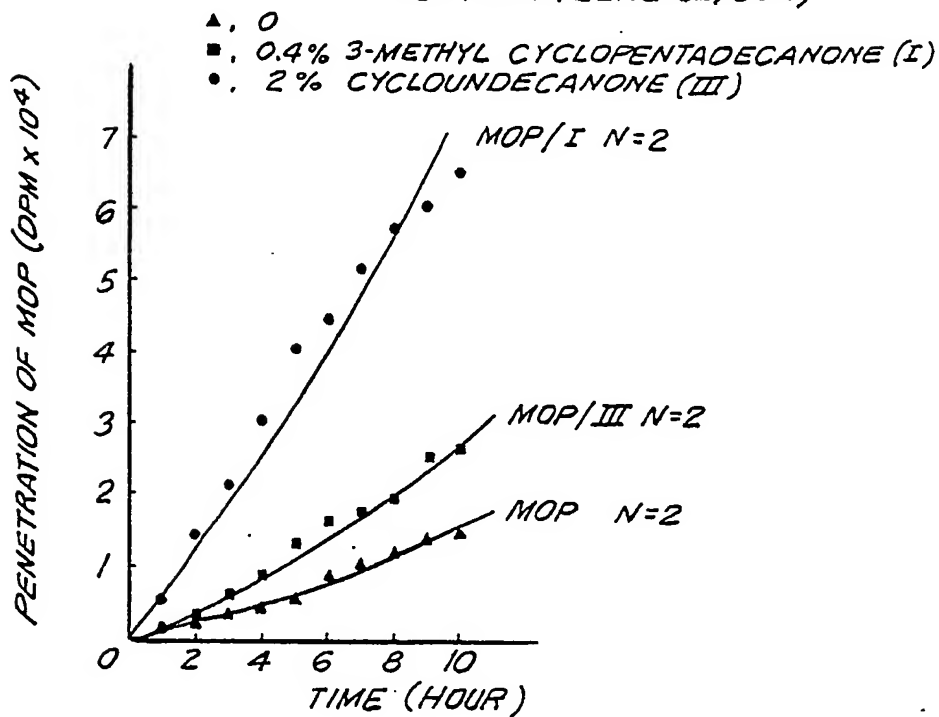


FIG.4

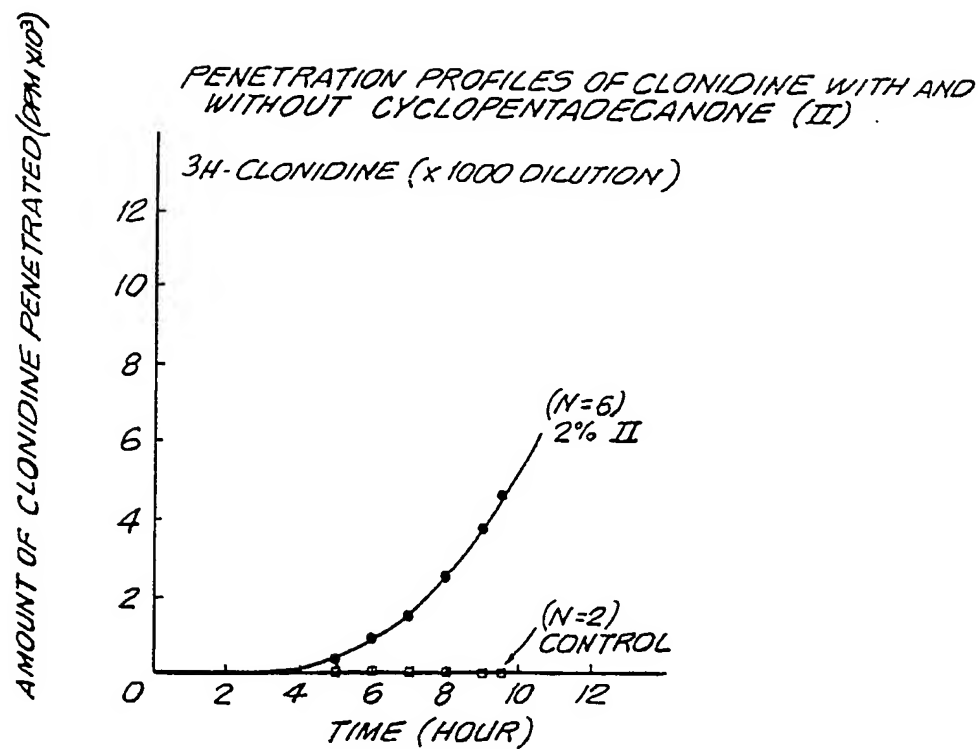


FIG.5

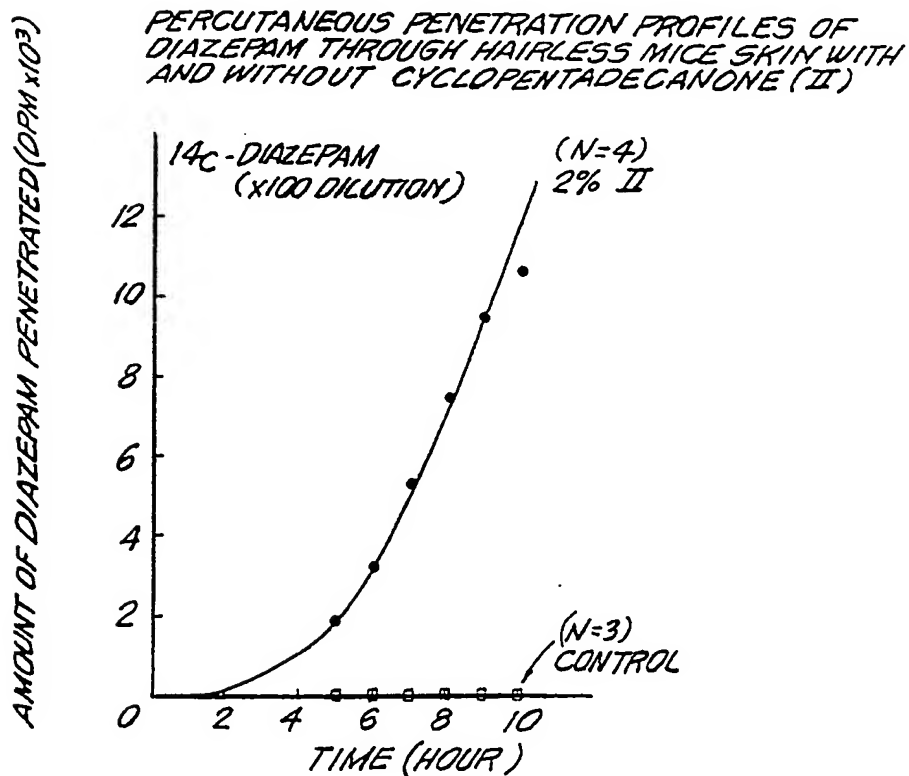


FIG.6

PERCUTANEOUS PENETRATION PROFILES OF DIAZEPAM THROUGH HAIRLESS MICE SKIN WITH AND WITHOUT CYCLOPENTADECANONE (CIB-01)

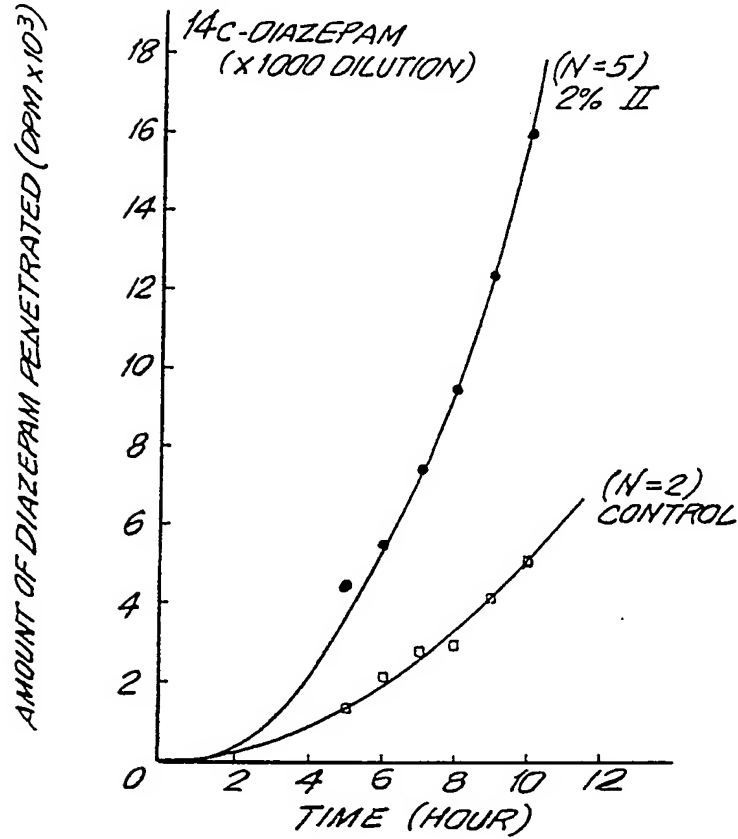


FIG.7

PERCUTANEOUS PENETRATION PROFILES OF ESTRADIOL THROUGH HAIRLESS MICE SKIN WITH AND WITHOUT CYCLOPENTADECANONE (II)

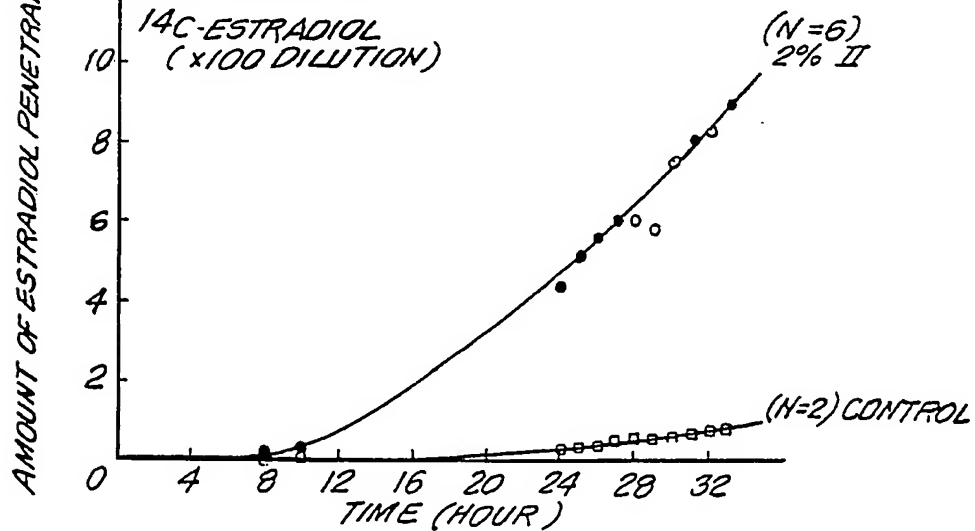
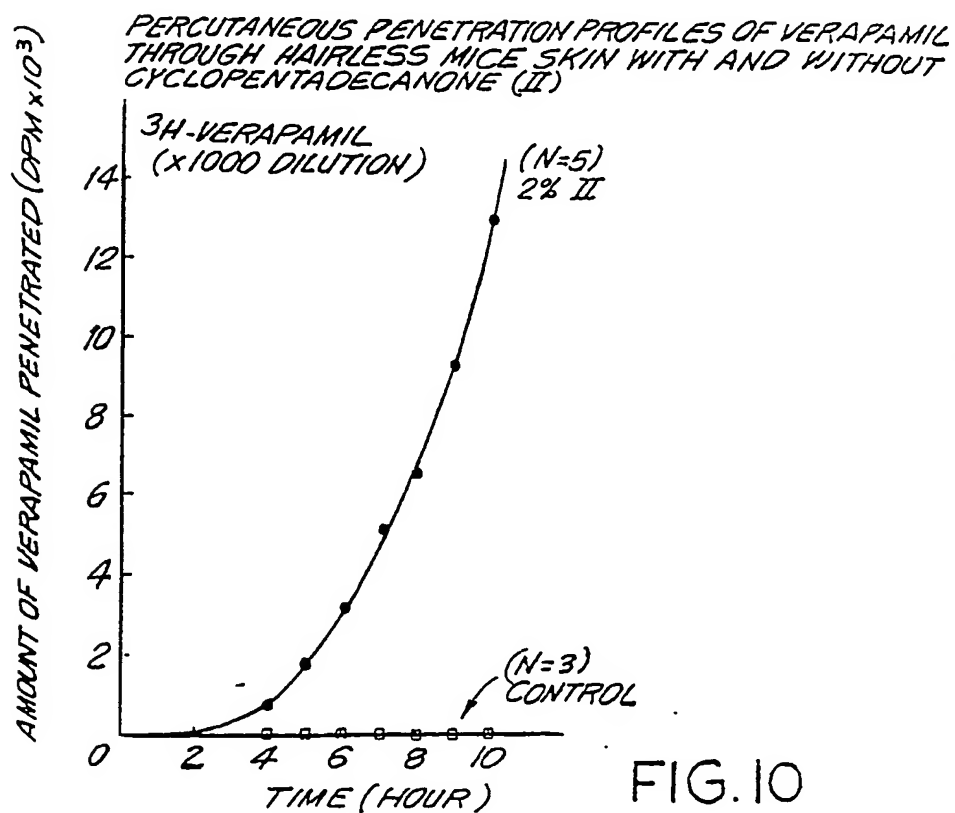
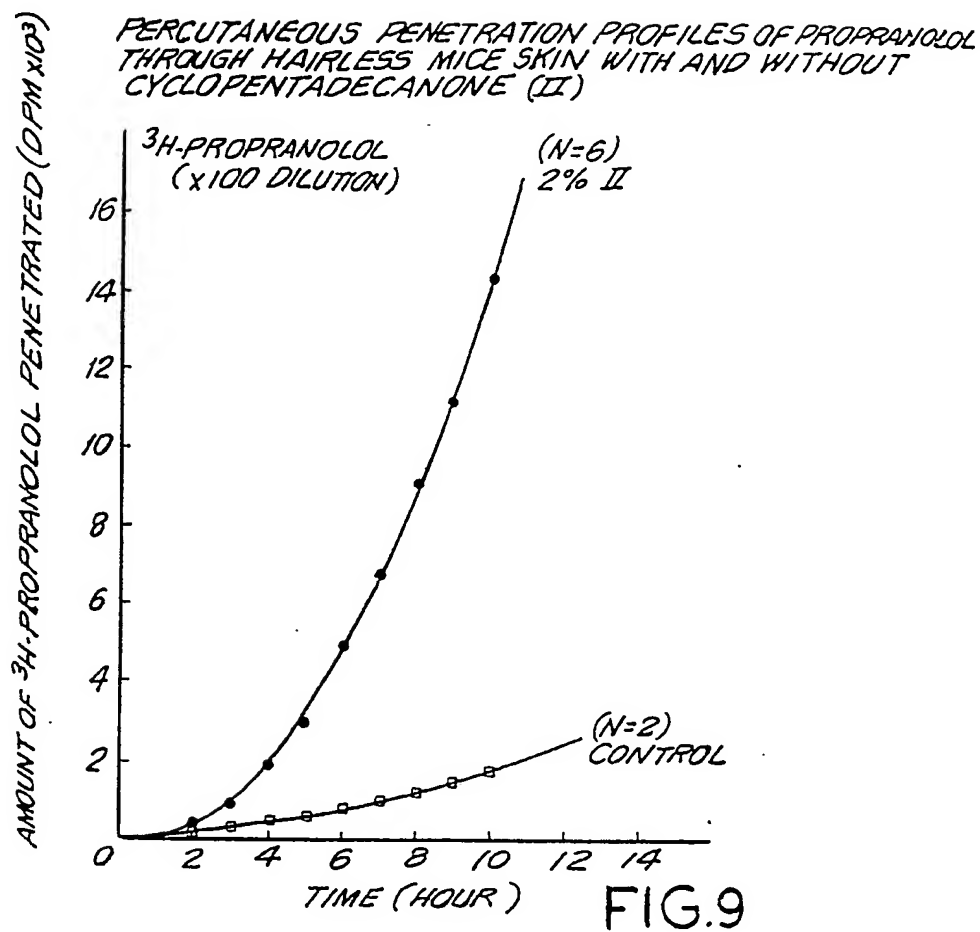


FIG.8



SKIN PERMEATION OF HYDROCORTISONE THROUGH  
HAIRLESS MOUSE SKIN, WITH MACROCYCLIC KETONES

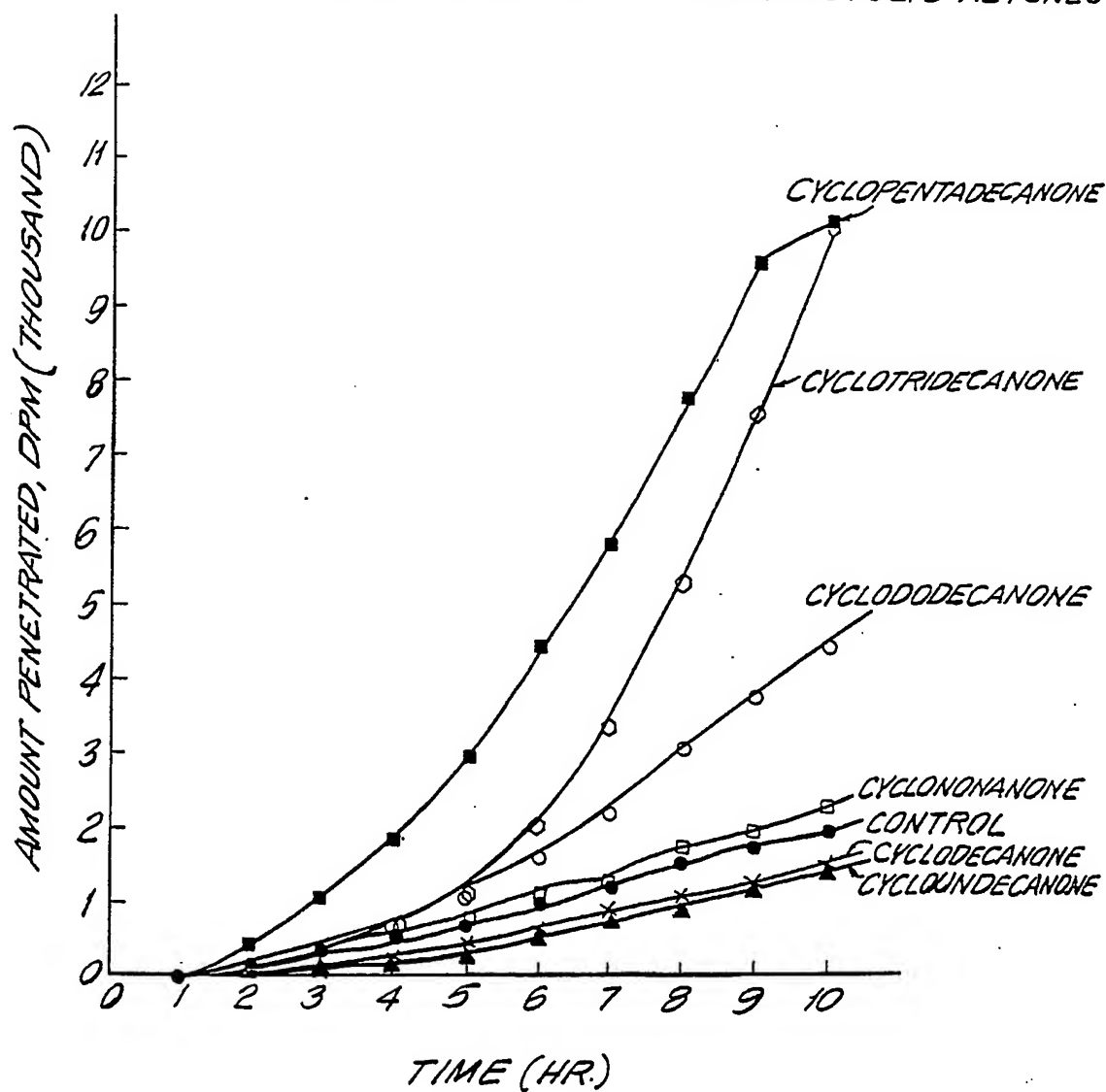


FIG.II

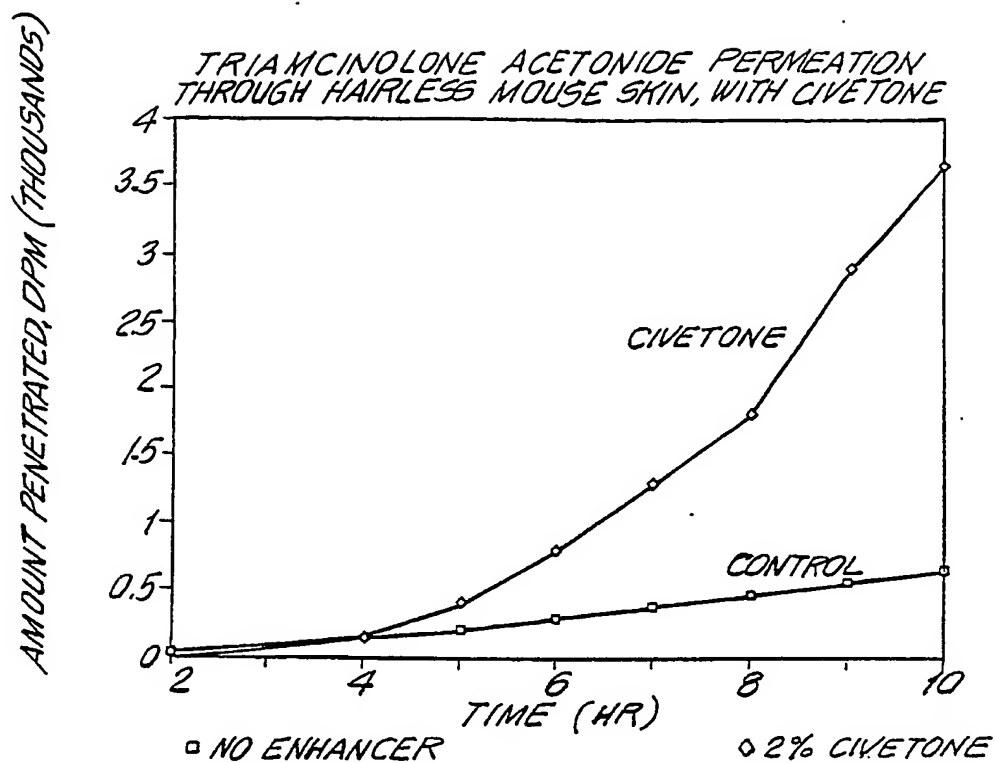


FIG.12

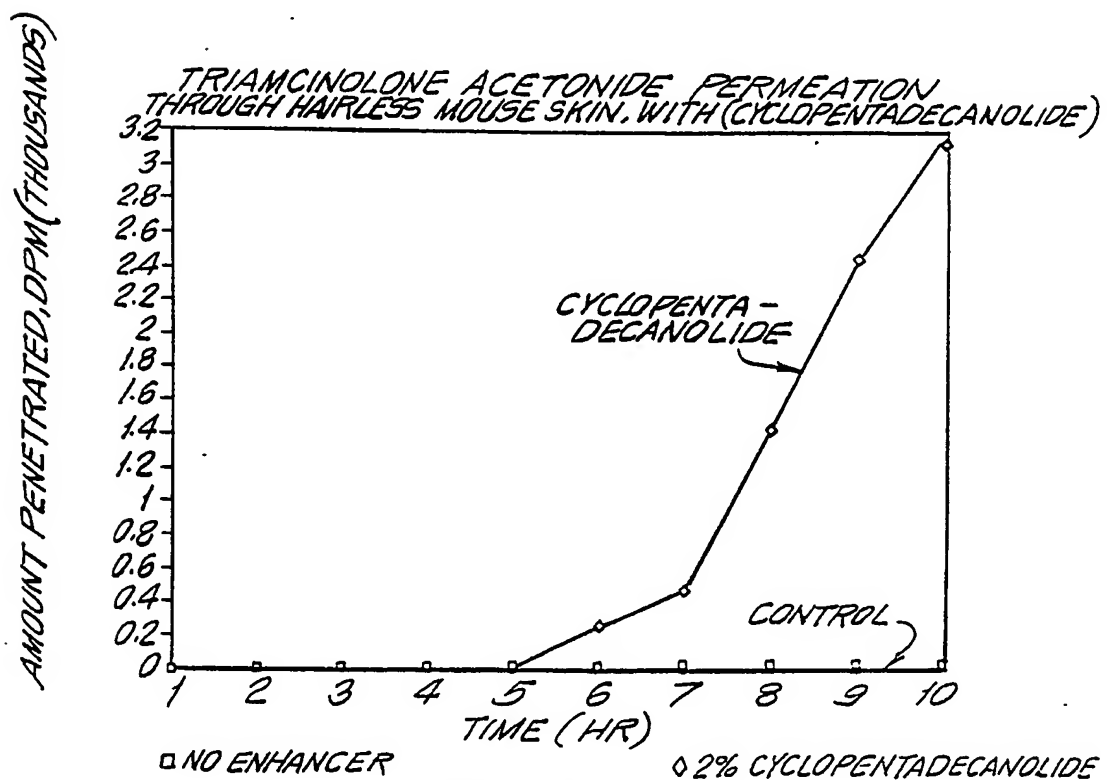


FIG.13

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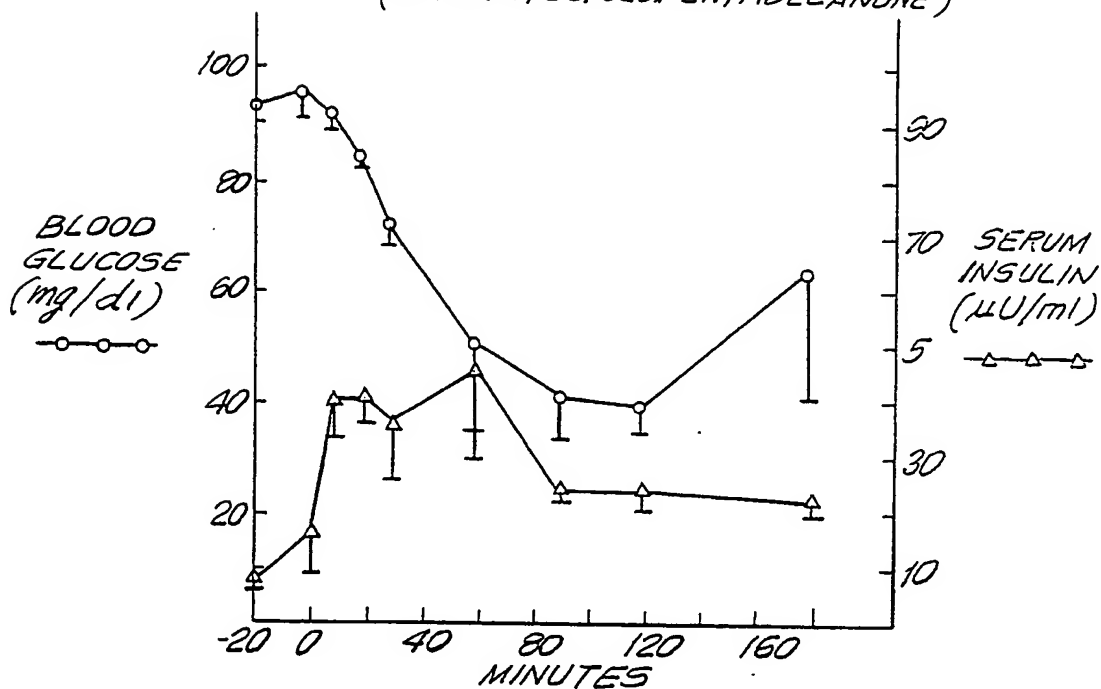
NASAL ABSORPTION OF INSULIN IN DOGS  
(3-METHYL CYCLOPENTADECANONE)

FIG.14

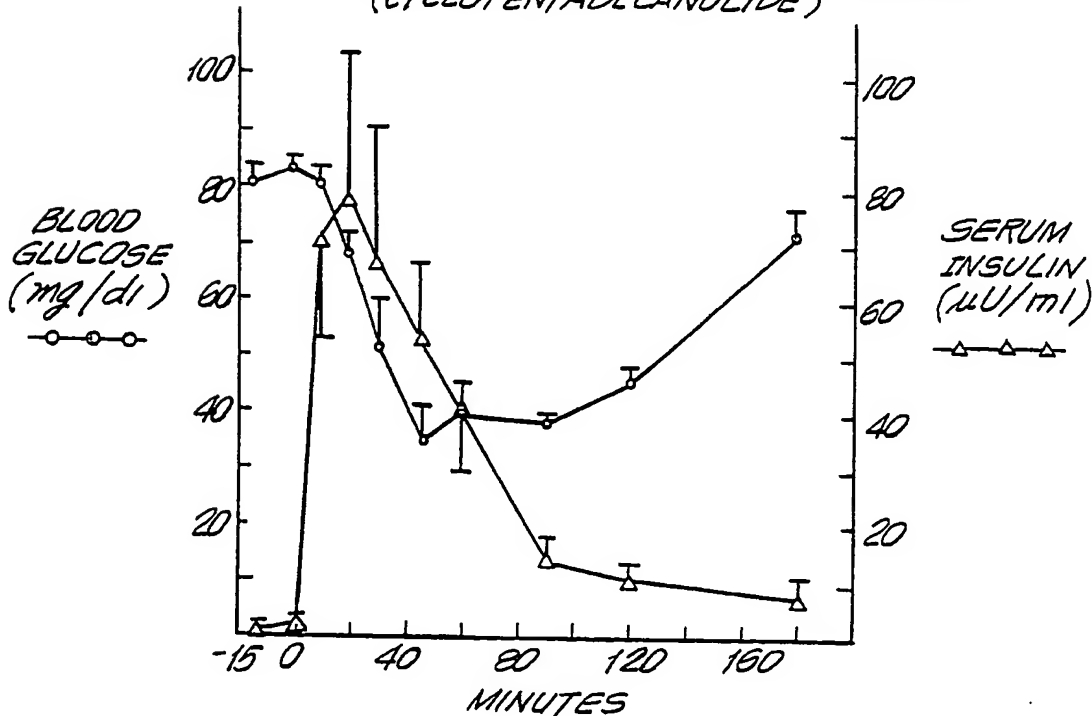

NASAL ABSORPTION OF INSULIN IN DOGS  
(CYCLOPENTADECANOLIDE)

FIG.15

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US86/02583

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL(4); A61J 3/00; A61L 9/04; A61K 31/335; A61K 31/12 U.S. CL : 424/16,45; 514/450,690,946,947		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
U.S.	424/16,45 514/450,690,946,947	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>5</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
X	US,A, 3474176 (FREEMAN) 21 October 1969 (21.10.69); See Col. 1, line 66- Col. 2 line 8; Col. 2 lines 49-60.	13-23
A	US,A, 3,921,636 (ZAFFARONI) 25 November 1975 (25.11.75) See entire document.	13-19
A	US,A, 3,964,482 (GERSTEL ET AL) 22 June 1976 (22.06.76) See entire document.	13-19
A	US,A, 3,996,934 (ZAFFARONI) 14 December 1976 (14.12.76) See entire document.	13-19
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>1</sup>		Date of Mailing of this International Search Report <sup>2</sup>
02 March 1987		09 MAR 1987
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>10</sup>
ISA/US		 J. Lipovsky